

# New Java performance developments: compilation and garbage collection

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#jfall17



# Part 1: New in Java compilation

## Part 2: New in Java garbage collection



# Part 1

## New in Java compilation



# New in Java compilation

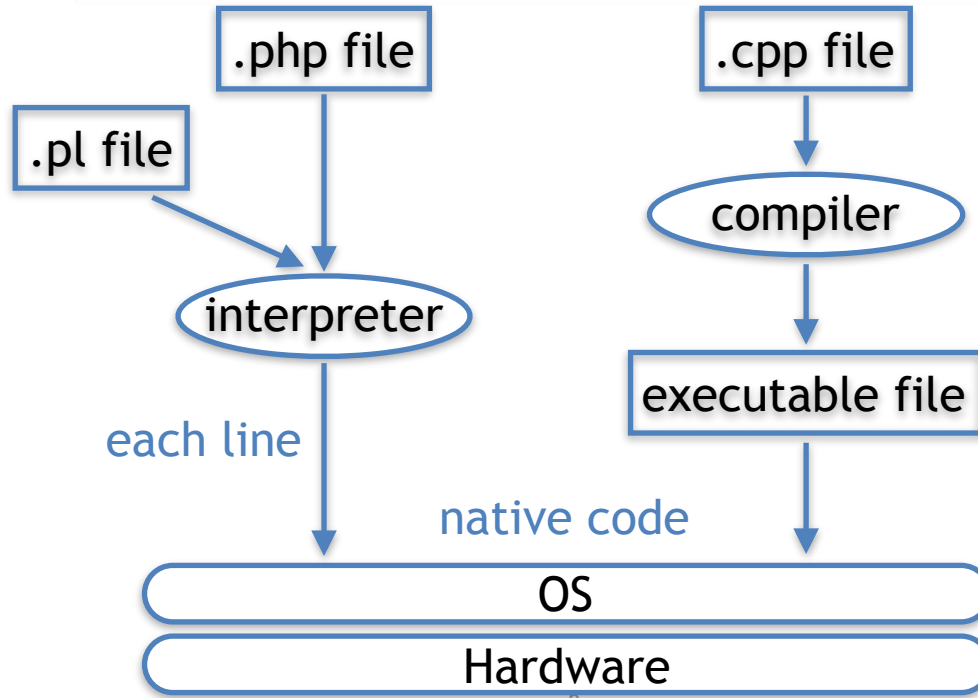
- Compilation basics for the JVM
- AOT-compilation added
- Advantages of AOT-compilation
- Examples using AOT
- Current limitations
- Conclusions



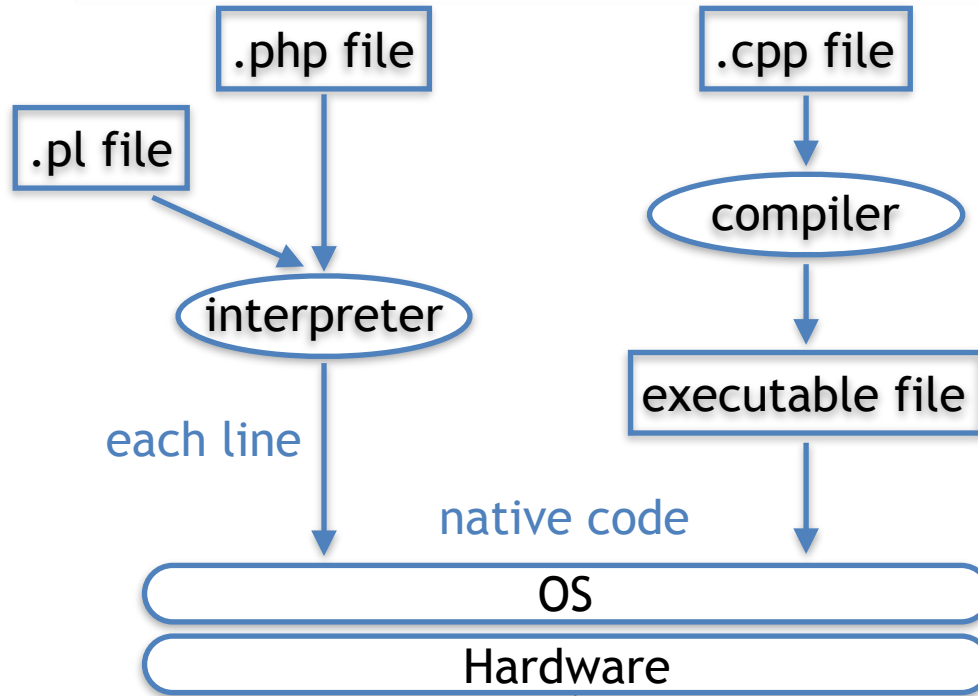
# Java compilation basics

interpretation and JIT-compilation in the JVM

# Interpretation versus compilation



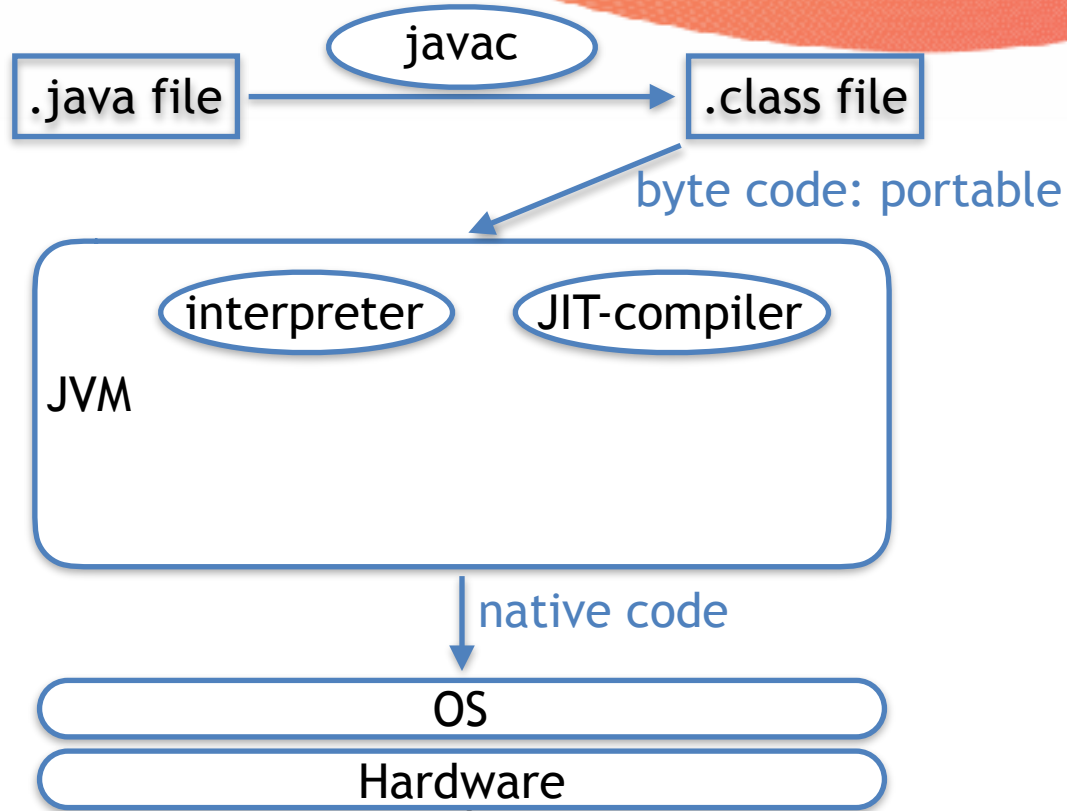
# Interpretation versus compilation



- portable source code

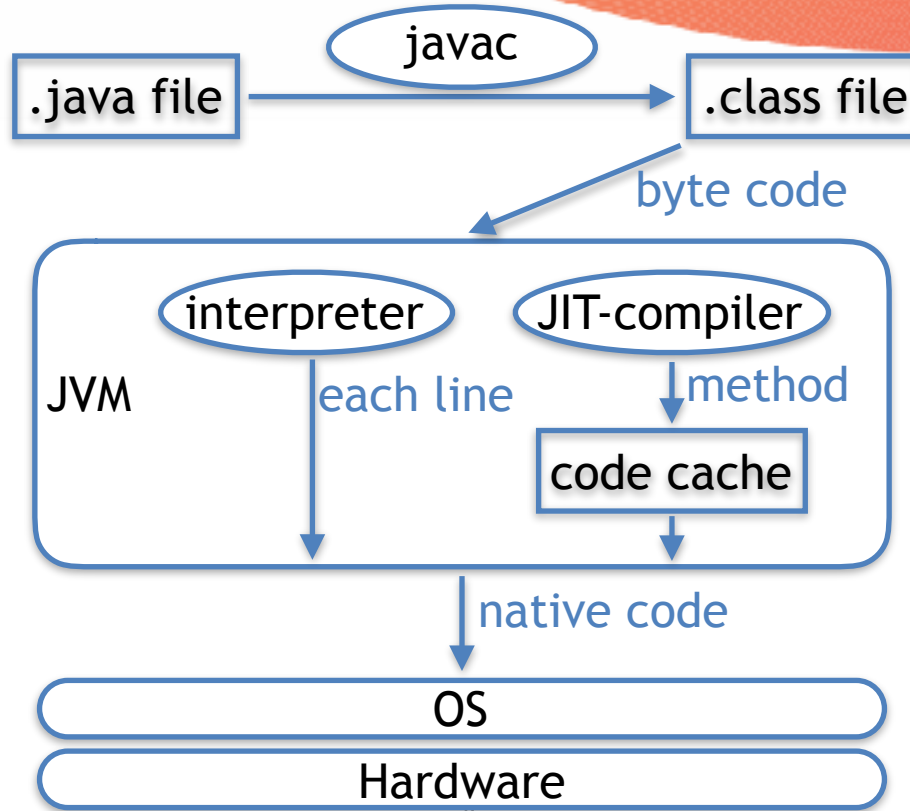
- exe non-portable
- optimizations
- faster execution

# JVM: Interpretation and JIT-compilation

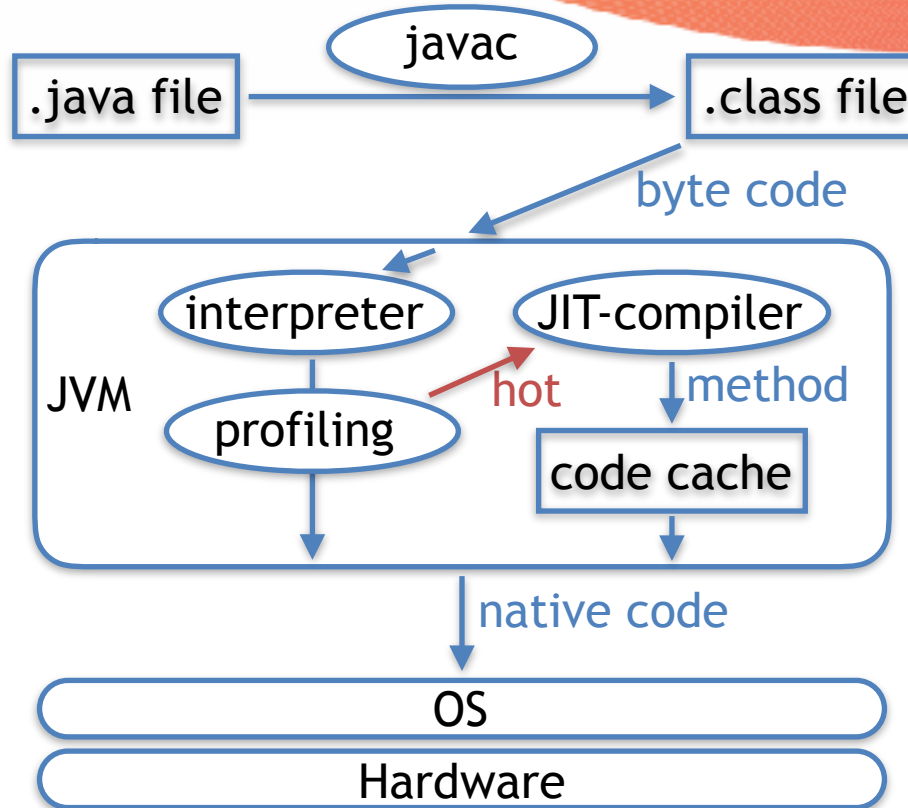




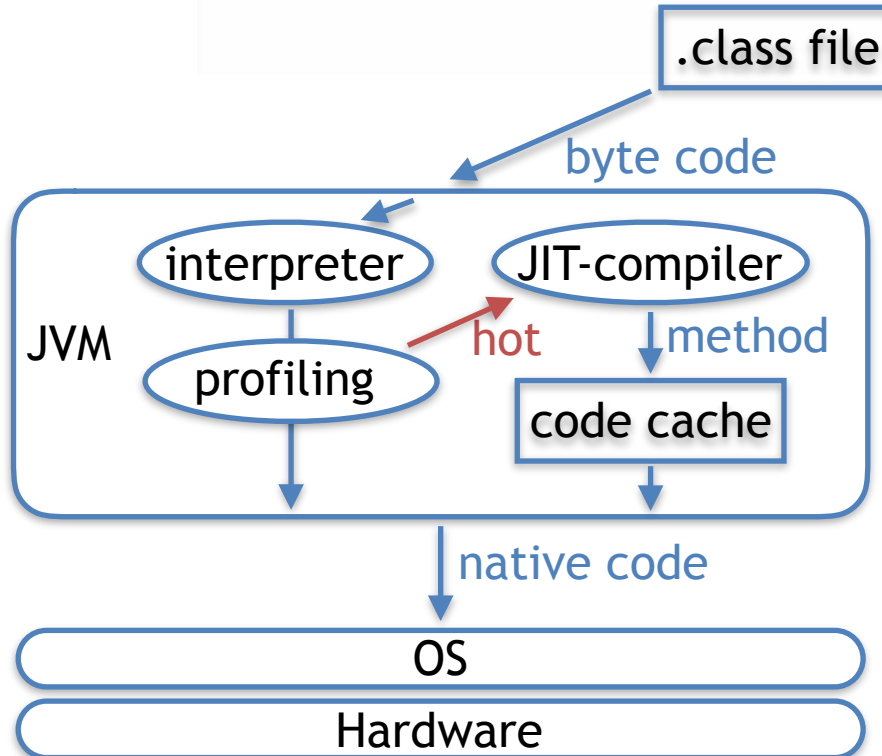
# JVM: Interpretation and JIT-compilation



# Profile guided JIT-compilation

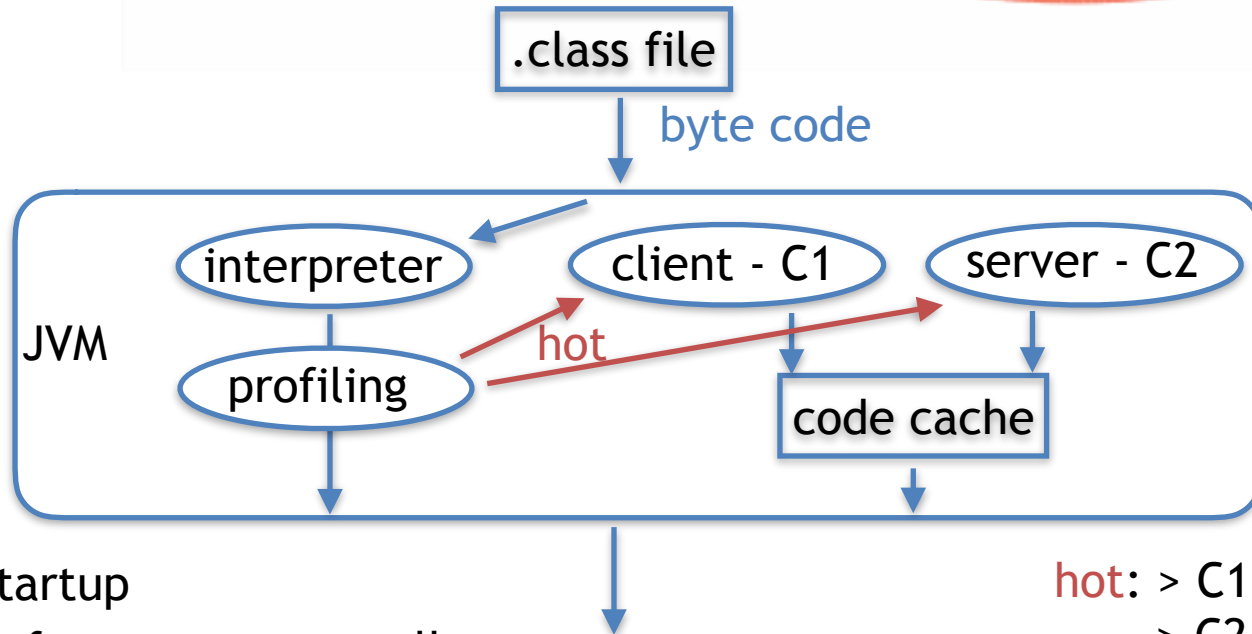


# Profile guided JIT-compilation with adaptive optimizations



- focus on hot code:
- speculatively optimize
- method inlining
- branch prediction
- loop unrolling
- de-optimization

# C1: client or C2: server compiler

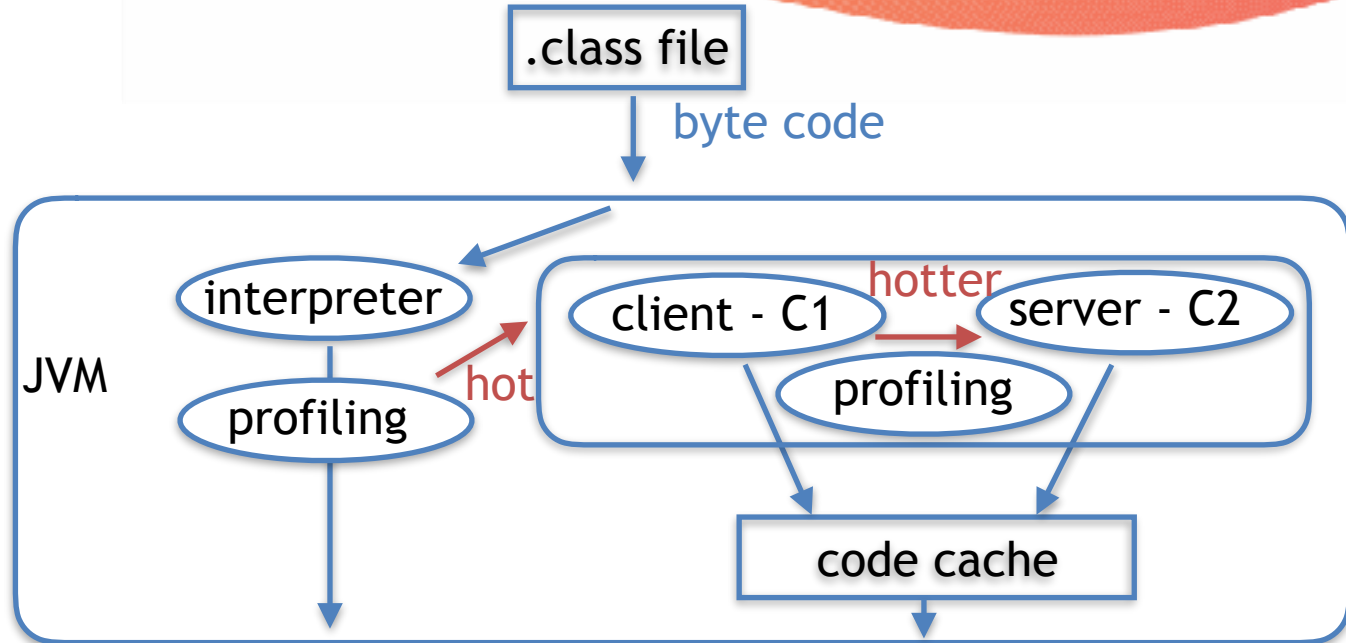


C1: quick startup

C2: best performance eventually  
by more optimizations

**hot:** > C1:1500  
> C2:10.000  
invocations  
+ iterations

# Tiered compilation: Best of C1 and C2 default in Java 8



C1: quick startup

C2: best performance eventually  
by more optimizations



# interpretation versus compilation flags

- -Xint
- -Xcomp
- -Xmixed
  - JIT-compilation
  - default



# Java 9 test code: HelloJUG

```
package com.jpipoint.jfall;

import java.util.List;
import java.util.Set;

public class HelloJUG {
    public static void main(String[] args) {
        System.out.println("Hello JUG!");
        System.out.println("Speakers dinner desert: " + List.of("ice cream", "chocolate", "cake"));
        System.out.println("Speakers: " + Set.of("Mark", "Ray", "Arun", "Sander", "Roy", "Jeroen"));
    }
}
```



# HelloJUG

## Which is quickest?

java HelloJUG	
java -Xcomp HelloJUG	
java -Xint HelloJUG	



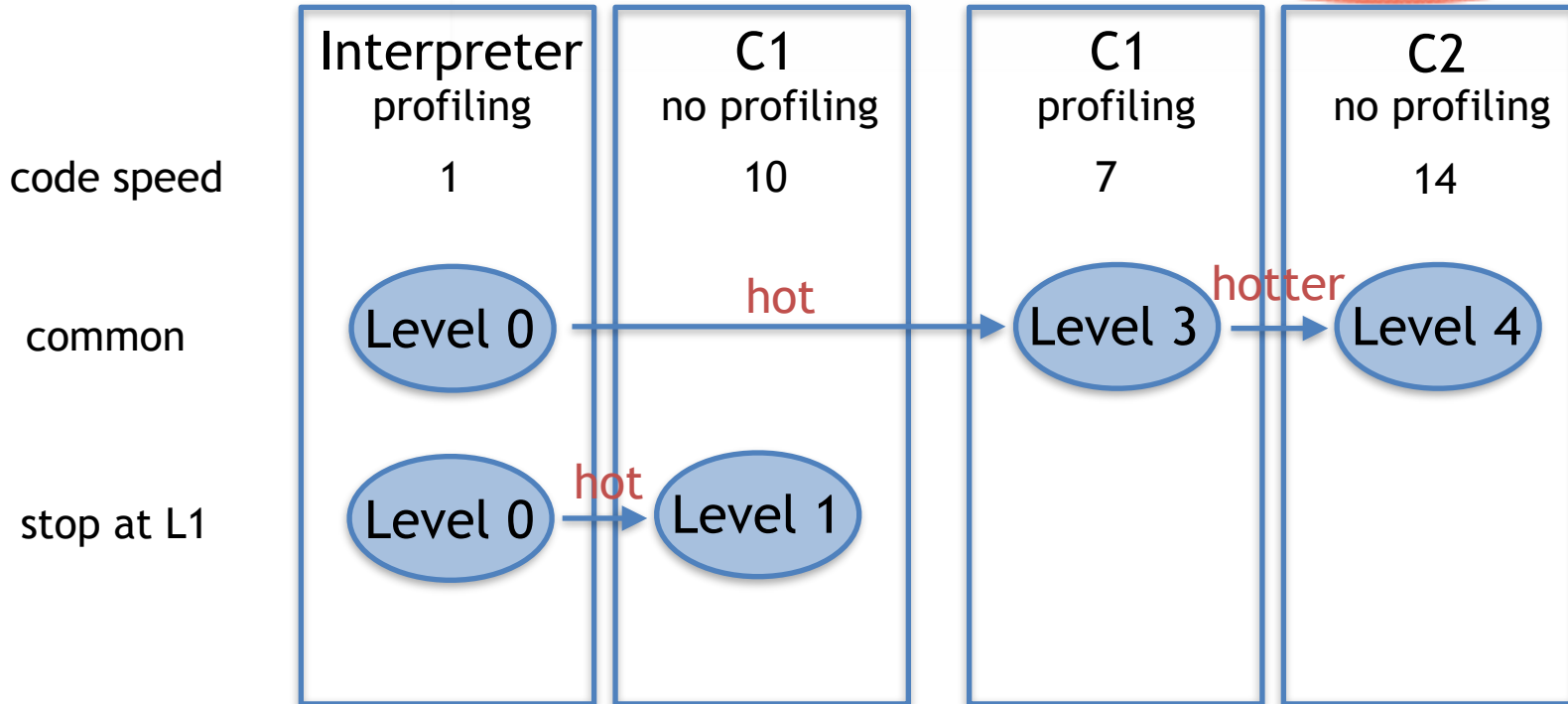


# HelloJUG

## Real times, average of 5 runs

<code>java HelloJUG</code>	252 ms
<code>java -Xcomp ...</code>	2272 ms
<code>java -Xint ...</code>	214 ms

# Tiered compilation levels



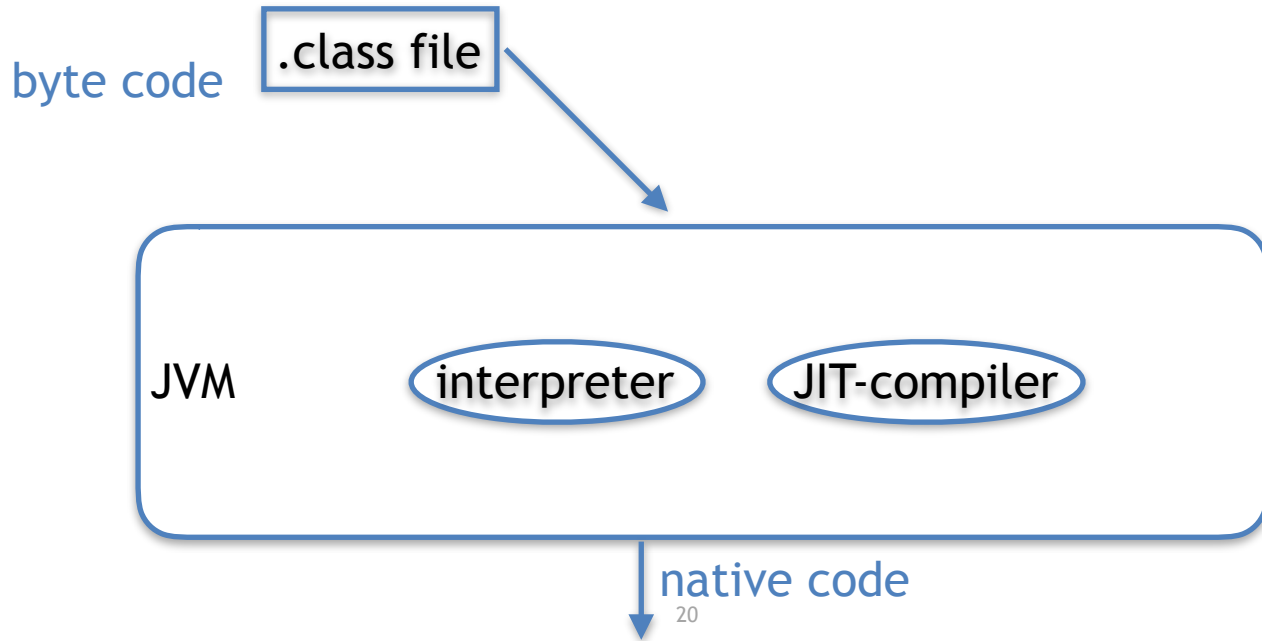


# HelloJUG

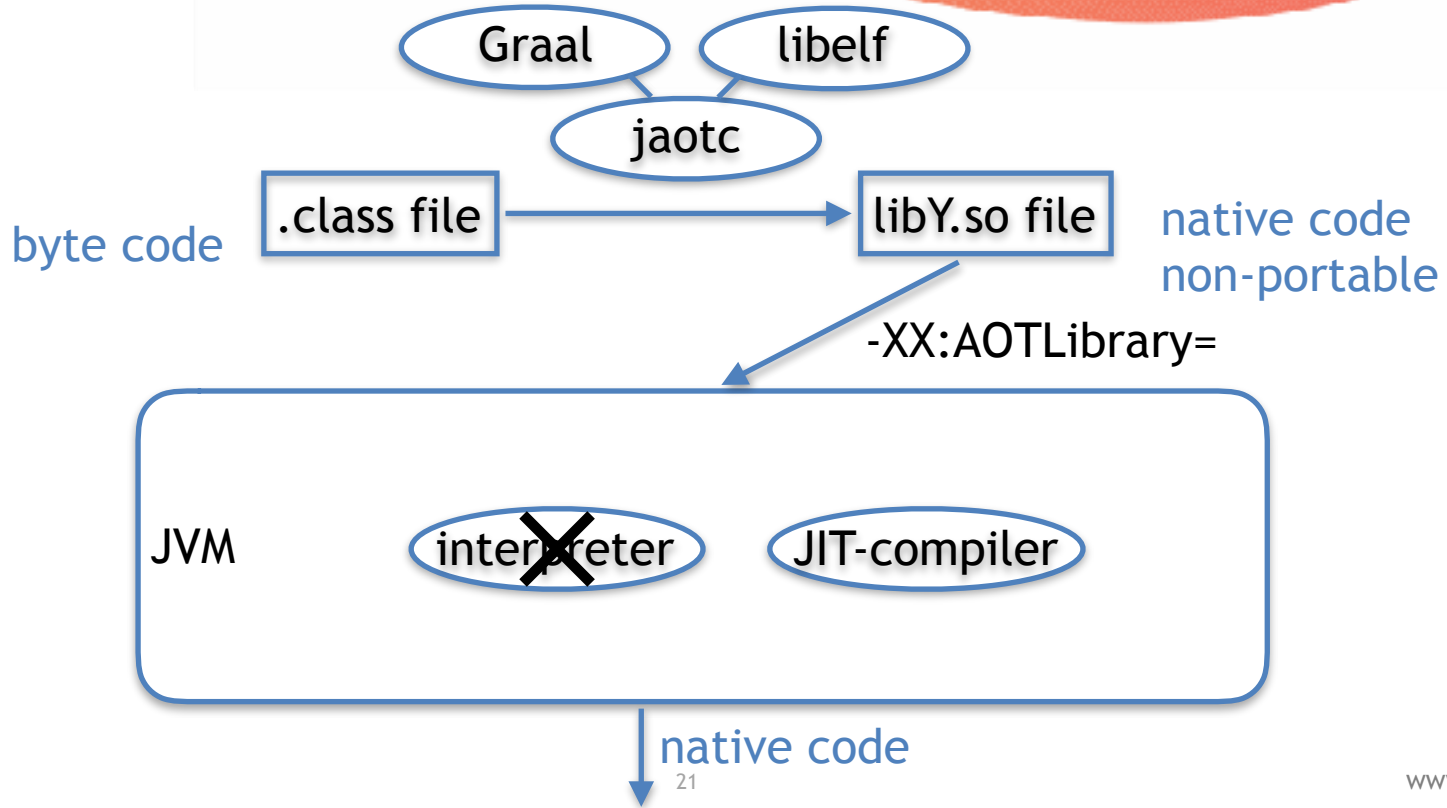
## Real times, average of 5 runs

<code>java HelloJUG</code>	252 ms
<code>java -Xcomp ...</code>	2272 ms
<code>java -Xint ...</code>	214 ms
<code>java -XX:TieredStopAtLevel=1...</code>	244 ms

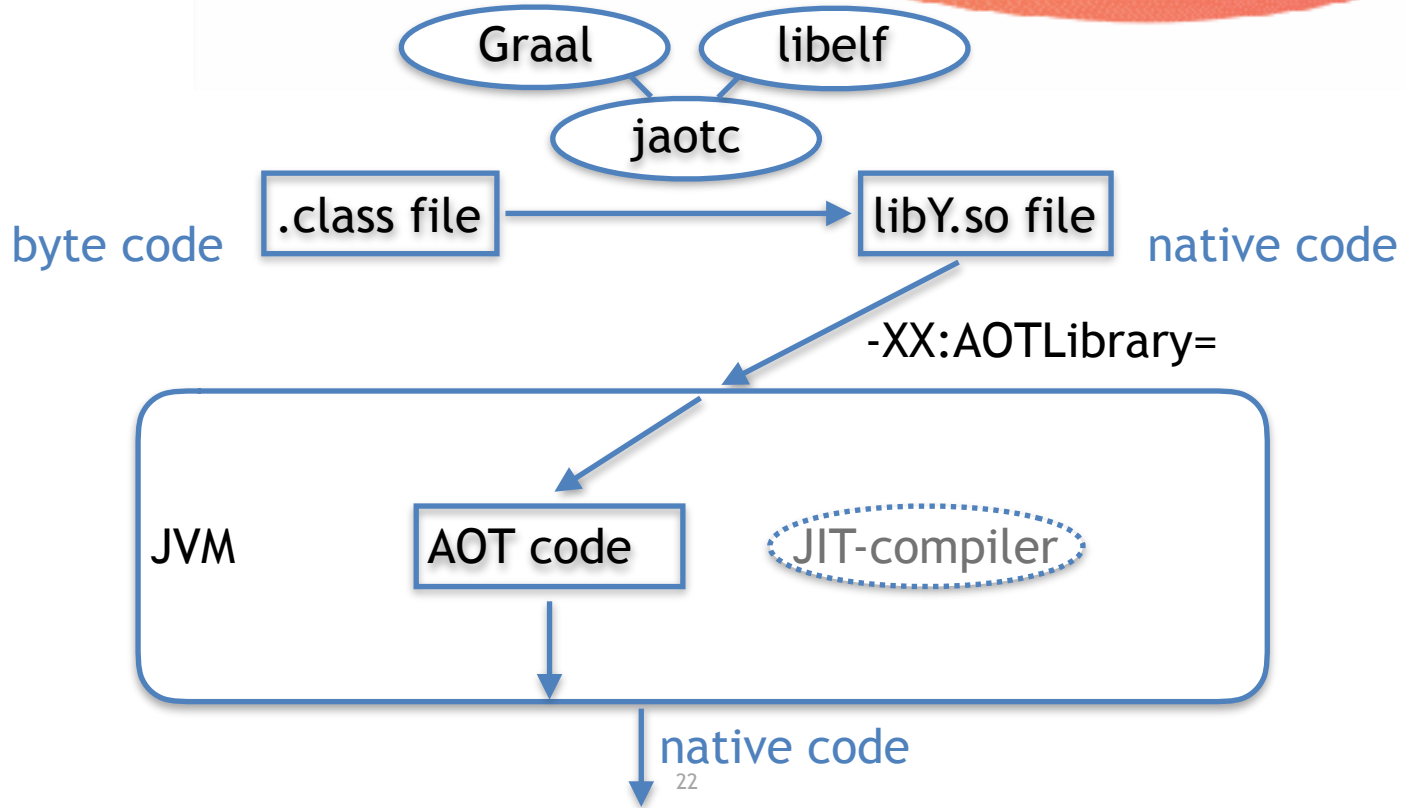
# AOT-compilation added



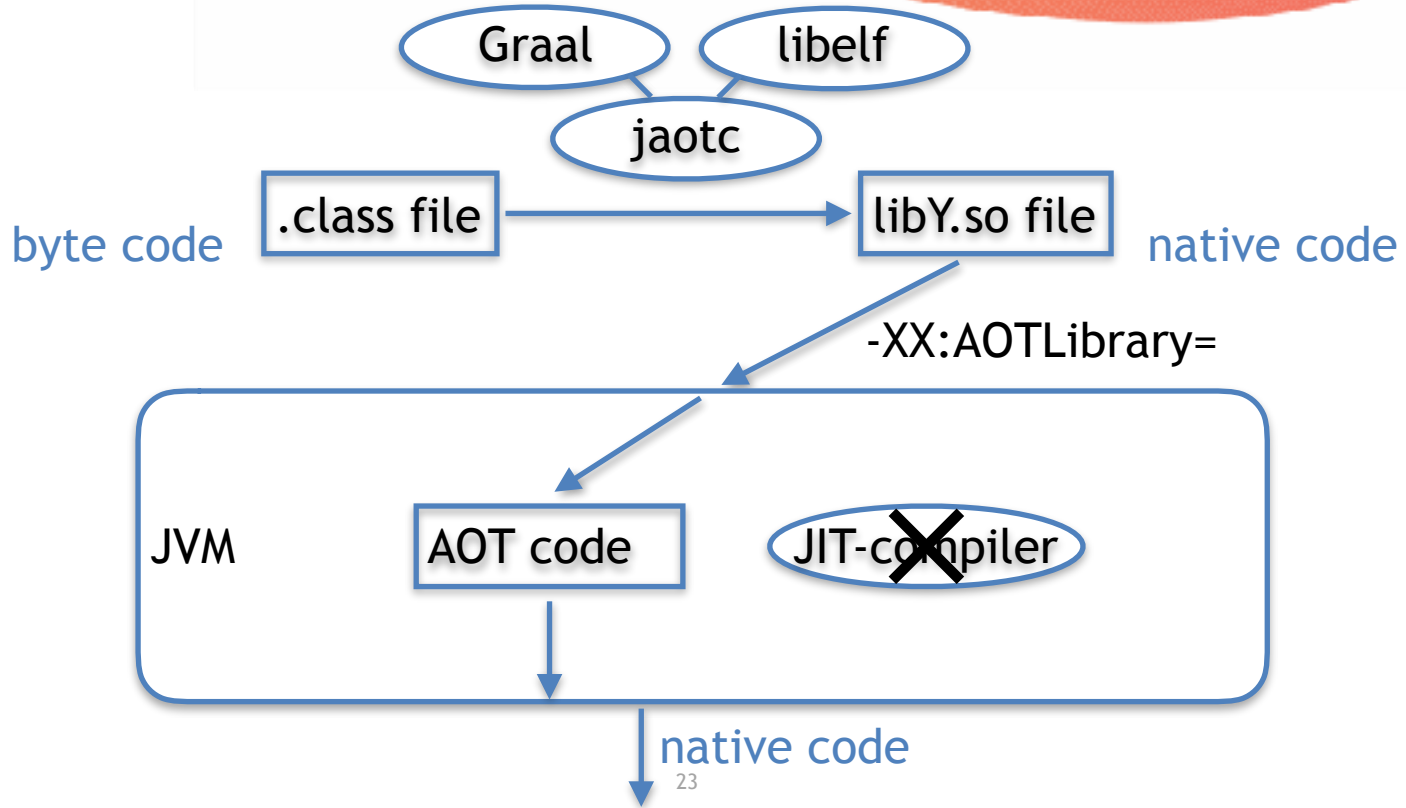
# AOT-compilation added



# AOT in 2 flavors: tiered (with JIT) and non-tiered (no JIT)



# Non-tiered AOT-compilation: no JIT



# Non-tiered compilation with AOT

	AOT no profiling 9	<del>C1 no profiling 10</del>	<del>C1 profiling 7</del>	<del>C2 no profiling 14</del>
code speed				
common	aot			

- footprint more important than peak performance
  - no JIT-code cache, no compiler threads
- more predictable behavior
  - constant speed of code, no JIT-compilation taking CPU



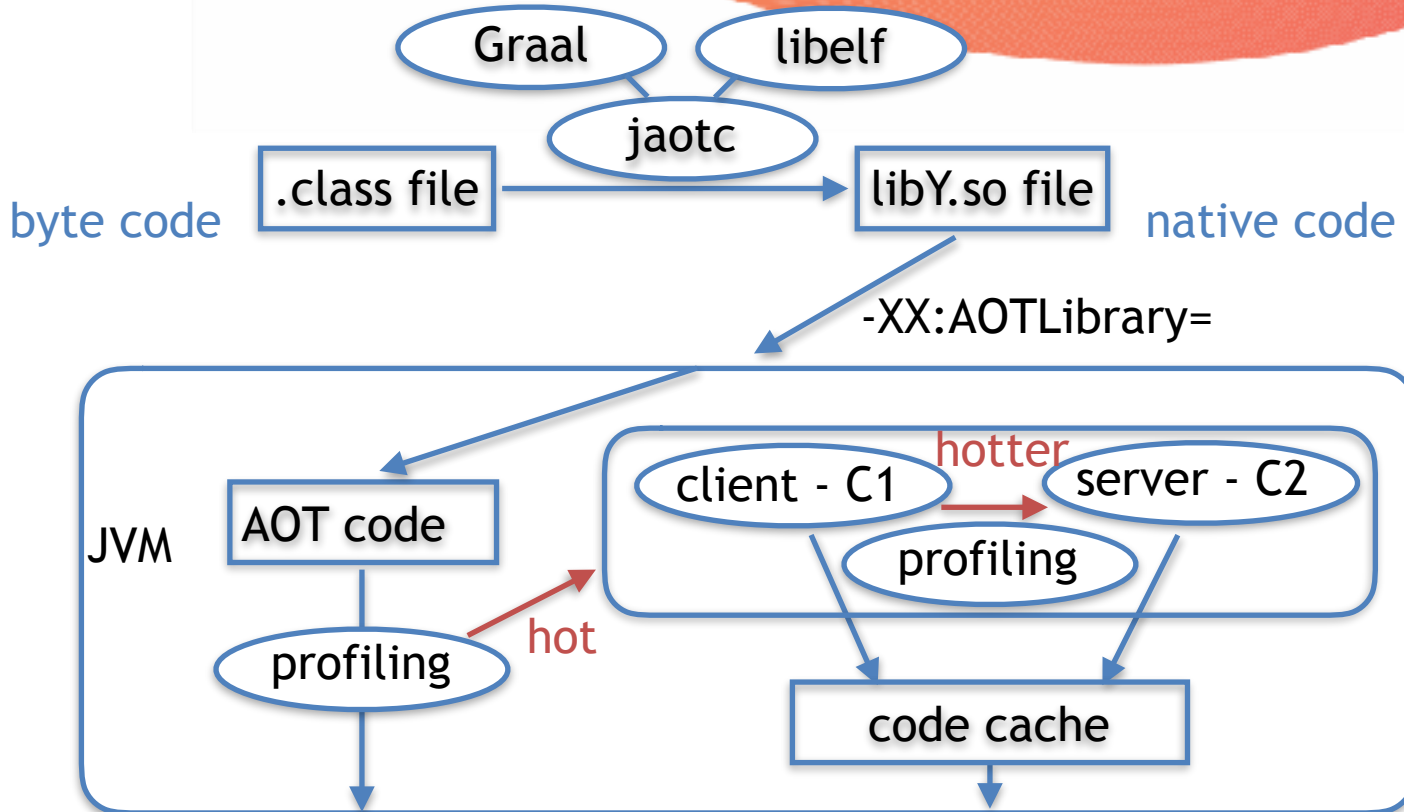


# HelloJUG

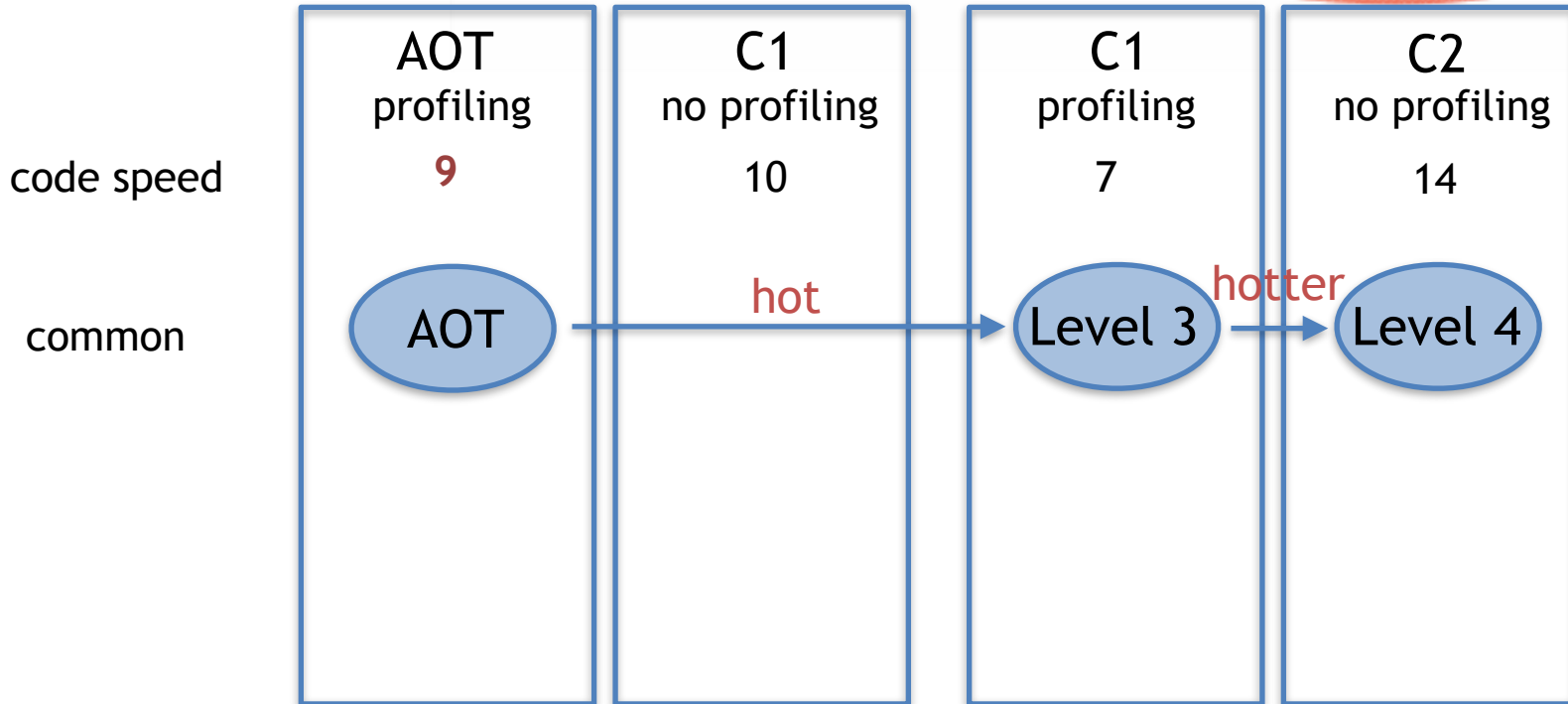
## Real times, average of 5 runs

<code>java HelloJUG</code>	252 ms
<code>java -Xcomp ...</code>	2272 ms
<code>java -Xint ...</code>	214 ms
<code>java -XX:TieredStopAtLevel=1...</code>	244 ms
<code>java -XX:AOTLibrary=lib..nont.so...</code>	214 ms

# Tiered AOT-compilation



# Tiered compilation levels with AOT





# Why AOT-compilation?

- Faster startup time
  - compiled/optimized methods immediately available
  - JIT optional, for best peak-performance
- Reach peak-performance quicker
- Potentially less memory usage
  - Class data sharing (CDS)
  - No JIT-compiler overhead
- Less CPU usage
  - less interpreting, profiling, compiling



# AOT-compiling HelloJUG

```
jeroen@jeroen-VirtualBox:~/Proj/HelloJUG/out/HelloJUG$ jaotc --info --output libHelloJUG-t.so --compile-for-tiered --class-name com.jpinnpoint.jfall.HelloJUG
Compiling libHelloJUG-t...
1 classes found (39 ms)
2 methods total, 2 methods to compile (7 ms)
Compiling with 2 threads
2 methods compiled, 0 methods failed (2039 ms)
Parsing compiled code (8 ms)
Processing metadata (20 ms)
Preparing stubs binary (1 ms)
Preparing compiled binary (0 ms)
Creating binary: libHelloJUG-t.o (47 ms)
Creating shared library: libHelloJUG-t.so (86 ms)
Total time: 3665 ms
```



# Run HelloJUG using AOT with `-XX:AOTLibrary=`

```
jeroen@jeroen-VirtualBox:~/Proj/HelloJUG/out/HelloJUG$ java -XX:AOTLibrary=./libHelloJUG-t.so,./libjava.base-coop-t.so  
-cp . com.jpipoint.jfall.HelloJUG  
Hello JUG!  
Speaker dinner desert: [ice cream, chocolate, cake]  
Speakers: [Mark, Sander, Arun, Roy, Ray, Jeroen]
```



# Run HelloJUG using AOT with -XX:AOTLibrary and -XX:PrintAOT

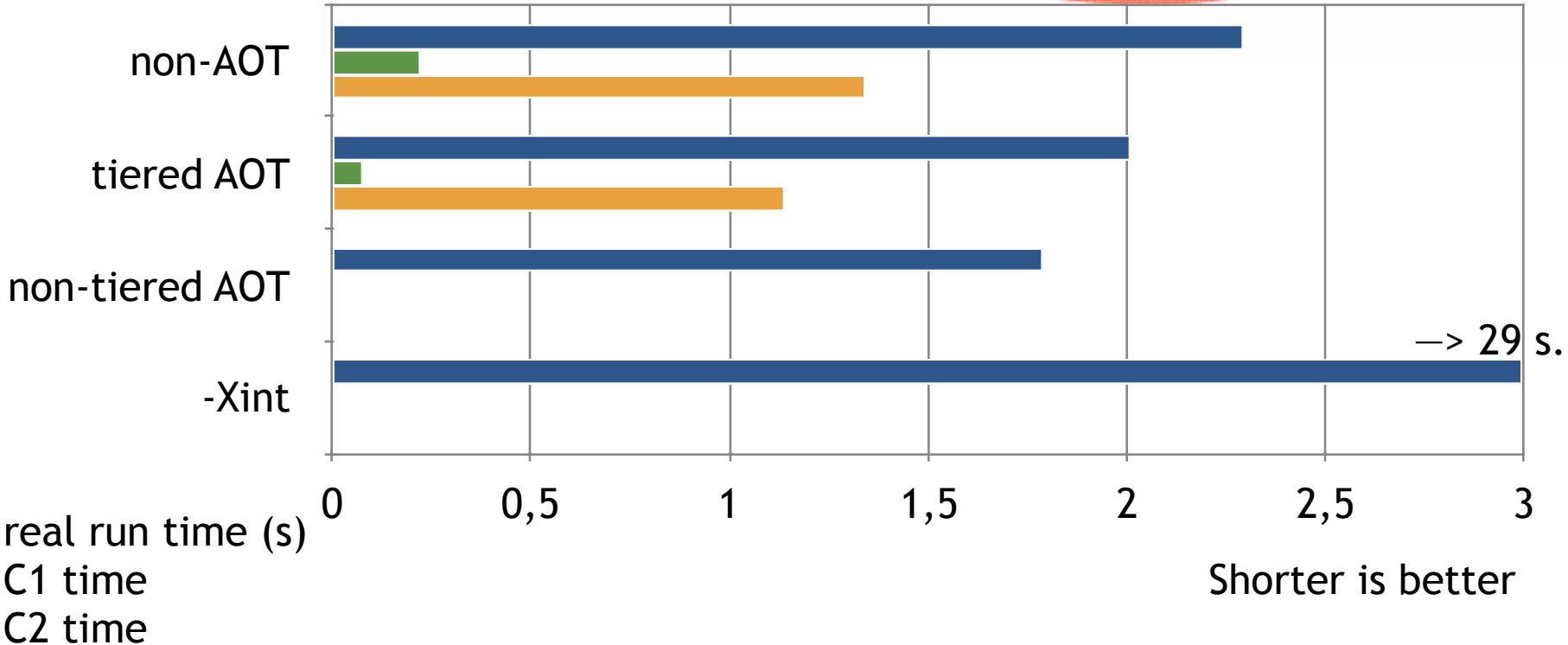
```
jeroengjeroen-VirtualBox:~/Proj/HelloJUG/out/HelloJUG$ java -XX:AOTLibrary=./libHelloJUG-t.so -XX:+PrintAOT -cp . com.jpinpoint.jfall.HelloJUG
5    1    loaded    ./libHelloJUG-t.so  aot library
143  1    aot[ 1]    com.jpinpoint.jfall.HelloJUG.<init>()V
143  2    aot[ 1]    com.jpinpoint.jfall.HelloJUG.main([Ljava/lang/String;)V
Hello JUG!
Speaker dinner desert: [ice cream, chocolate, cake]
Speakers: [Ray, Sander, Roy, Jeroen, Arun, Mark]
```





# CreateCalendars micro-benchmark

## Average of 5 runs, 2 CPU's







# Current limitations

- For JDK 9 only supported on Linux x64
  - JDK 10 (18.3) also on MacOS and Windows
- No use of profiling data during AOT (yet)
- Only supported for G1 GC and Parallel GC



# AOT-compilation conclusions

- Promising technique for quicker startup times
- Most noticeable when user waiting for startup / execution
  - Short run: non-tiered - without JIT-compiler
  - Small devices with little resources
  - IDE's, unit tests, code generation, coding checks, ..
  - Can be 10% to 2x better for short execution or startup
- AOT-compile and bundle only touched methods of java.base etc. should help more
  - training run in future versions



Part 1: New in Java compilation

Part 2: New in Java garbage collection



# Part 2:

# New garbage collectors

Shenandoah and  
Epsilon GC



# New garbage collectors

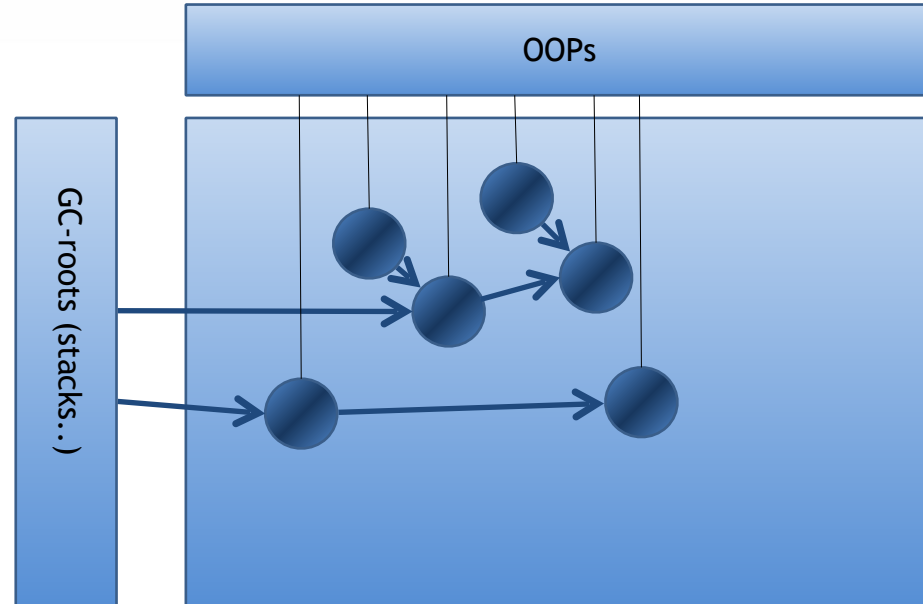
- GC basics
- Serial, Parallel, Concurrent GC
- Region based: G1 GC
- Shenandoah GC
- Epsilon GC
- Conclusions



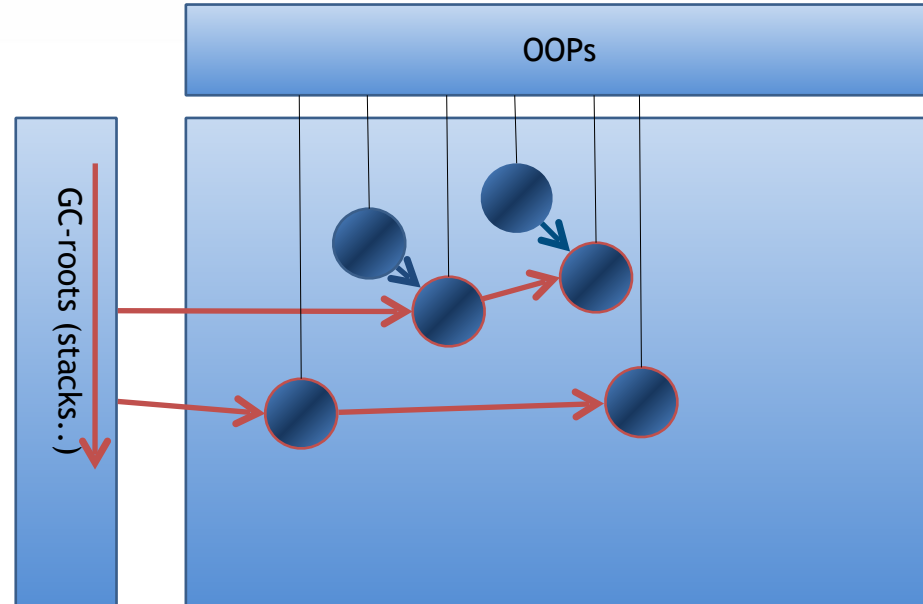
# Garbage collection basics

Mark&Sweep, Compaction and Mark&Copy

# Mark and Sweep

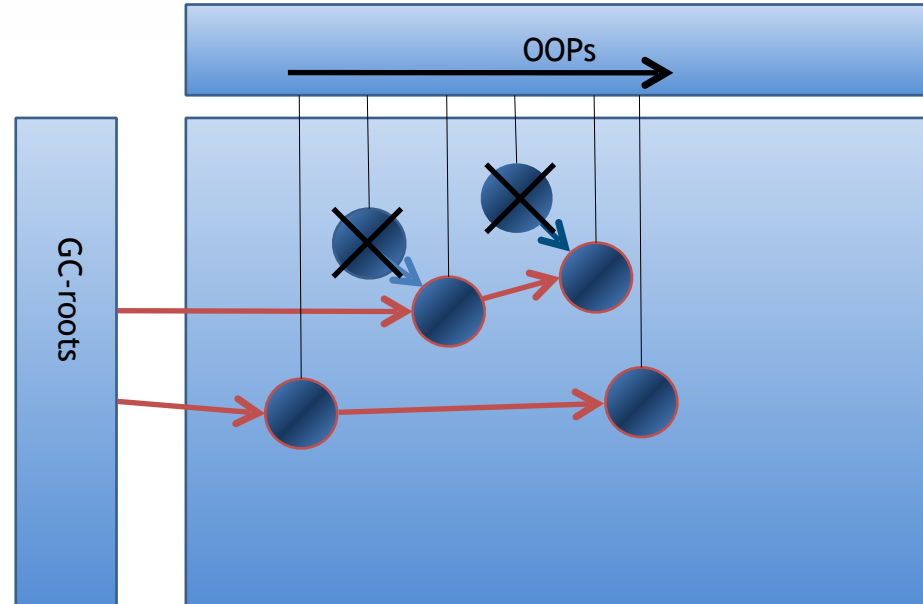


# Mark





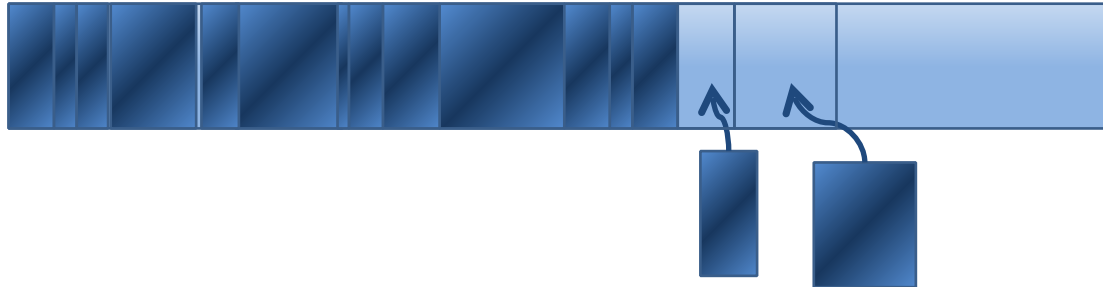
# Sweep



# Mark-Sweep: Fragmentation

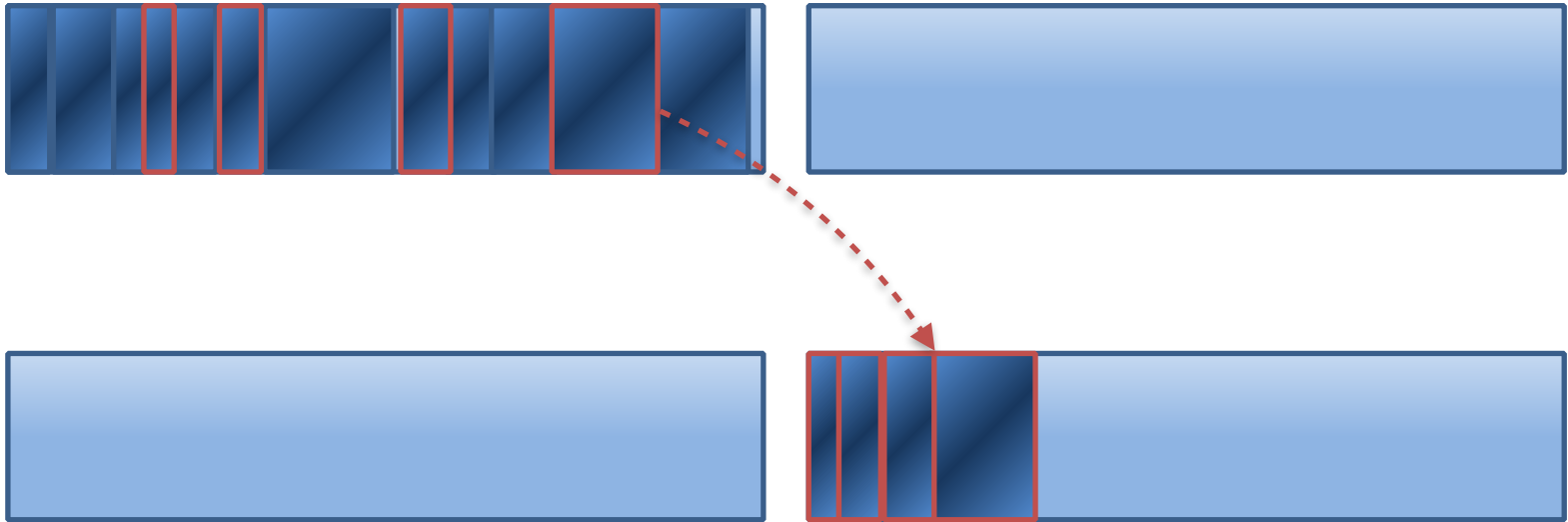


- After compaction:

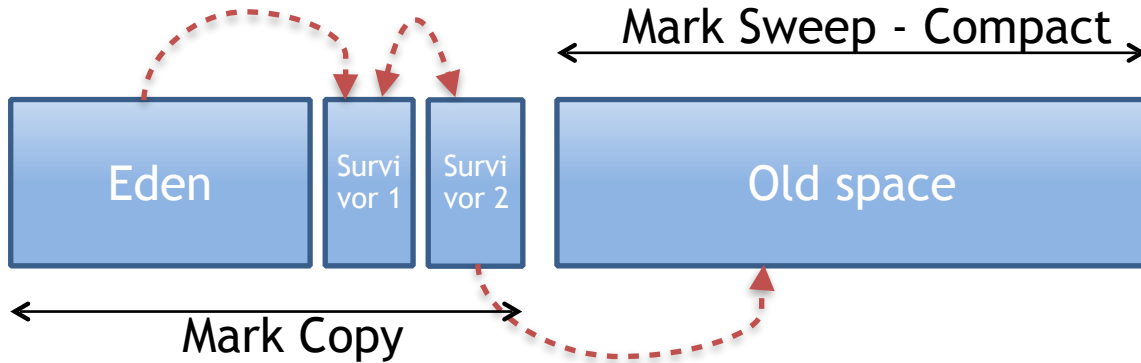


- Compaction is expensive

# Mark-Copy: no fragmentation



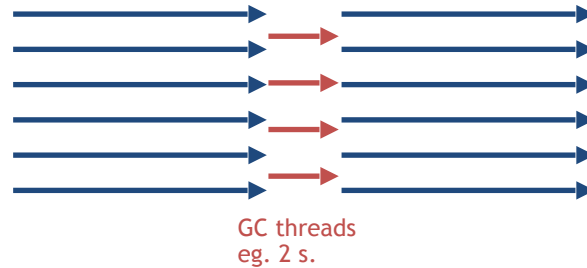
# Generational GC: Young and Old



# Serial GC: stop-the-world pauses

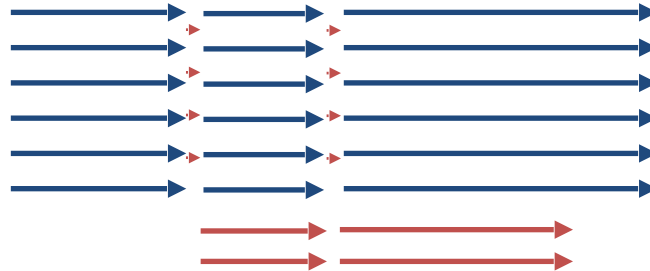


# Serial vs Parallel GC



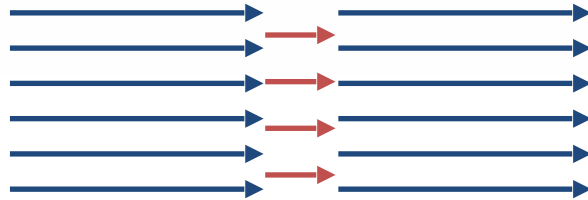


# Concurrent Mark Sweep GC - mostly concurrent to app

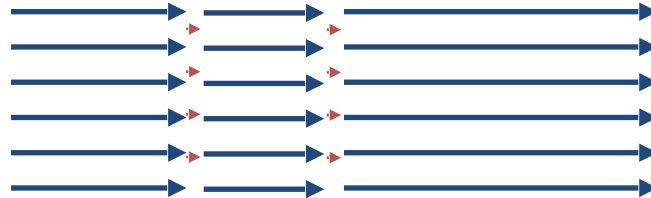


GC threads  
eg. 2x100 ms. STW  
10 s. concurrent

# Parallel GC vs CMS throughput vs responsiveness



GC threads  
eg. 2 s.

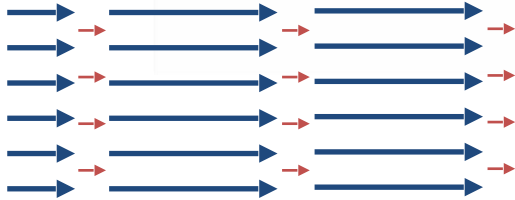


GC threads  
eg. 2x100 ms. STW  
10 s. concurrent

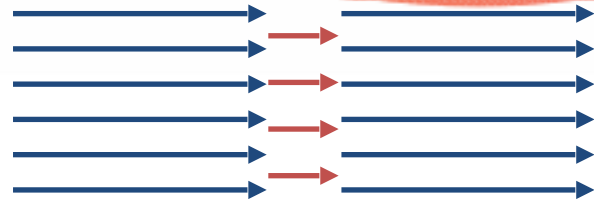


# Parallel GC vs CMS

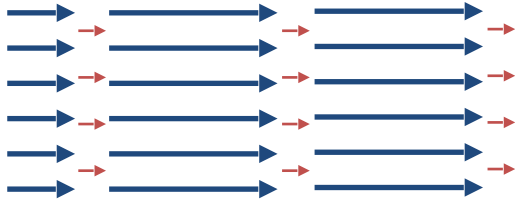
## Young and Old



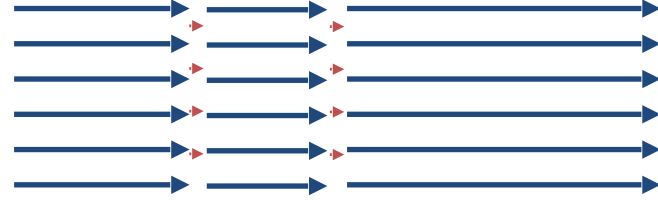
GC threads  
300 ms.



GC threads  
2 s.

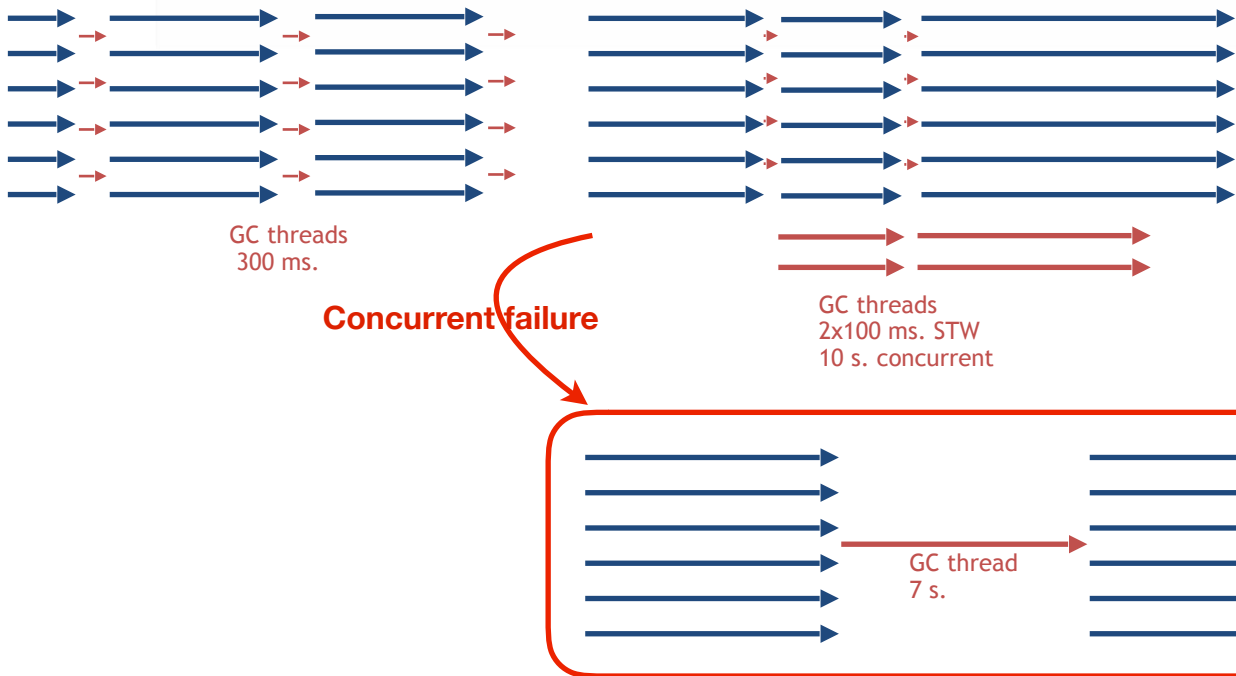


GC threads  
300 ms.

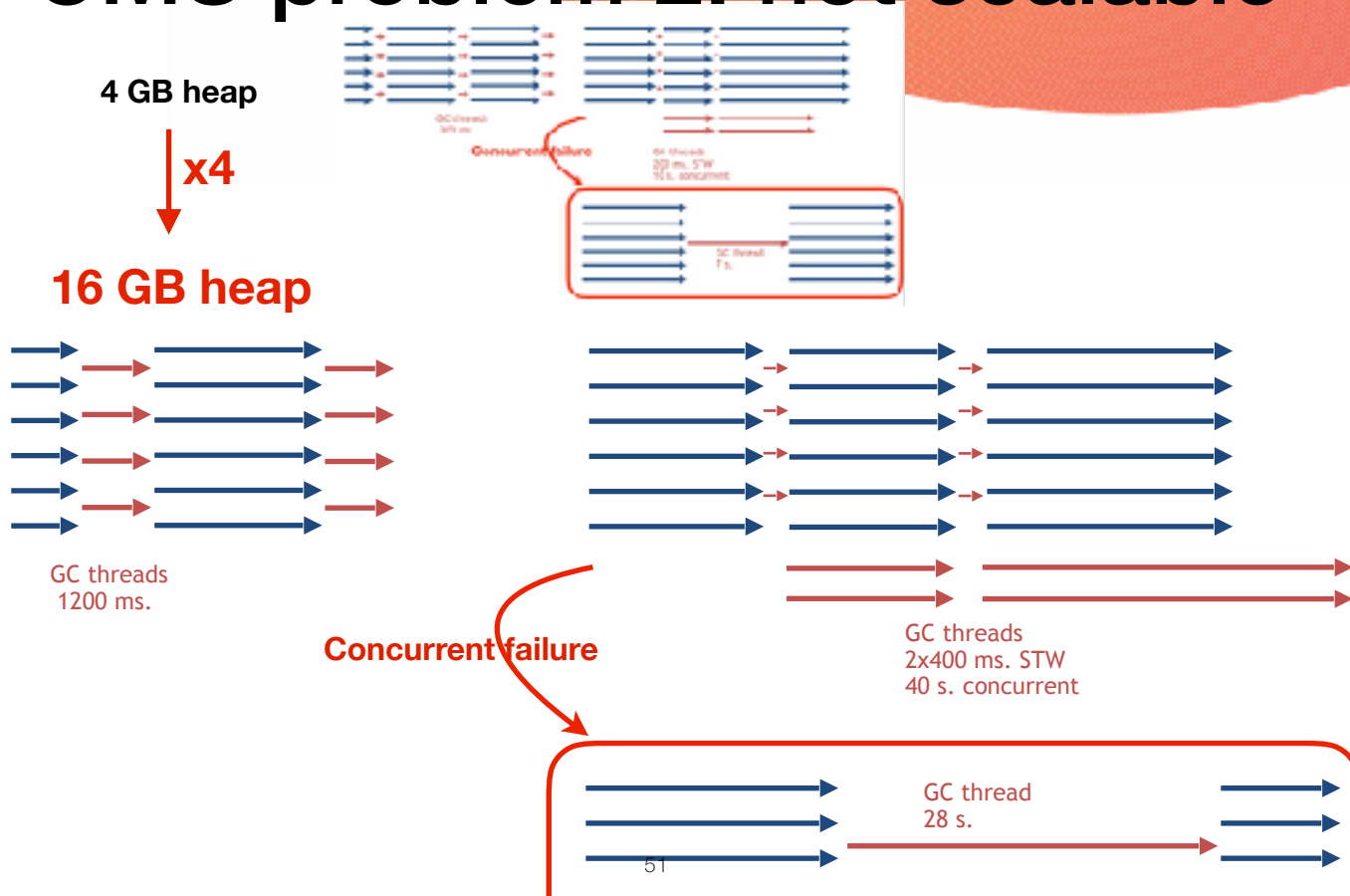


GC threads  
2x100 ms. STW  
10 s. concurrent

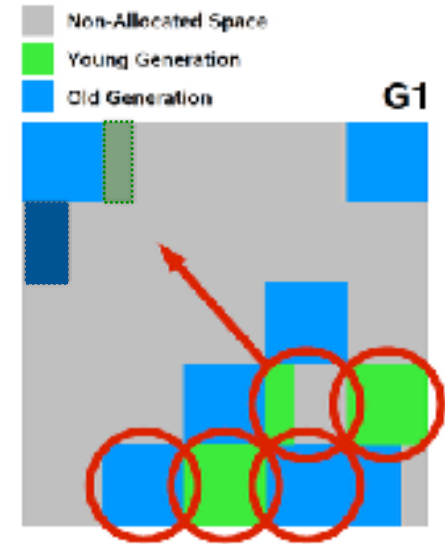
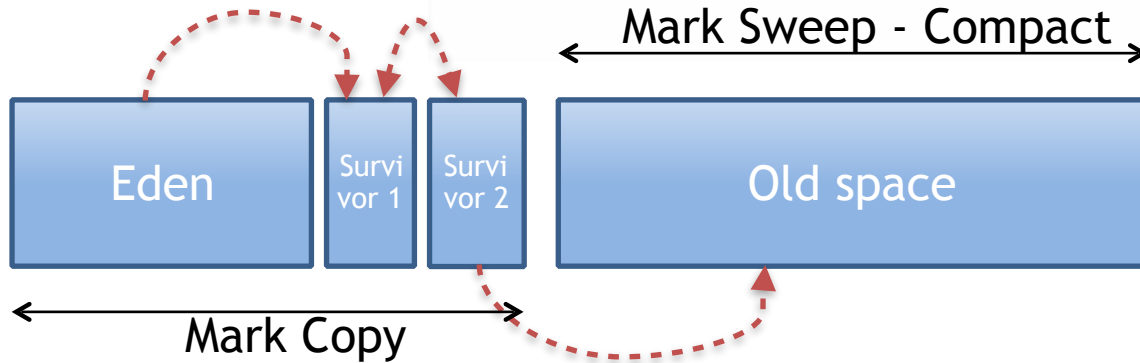
# CMS problem 1: failures



# CMS problem 2: not scalable

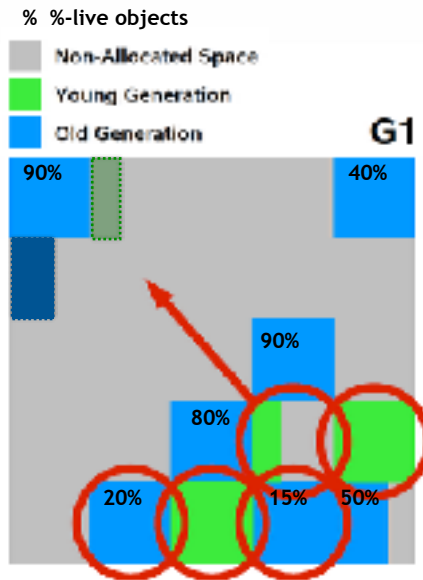


# Solution: regionalize





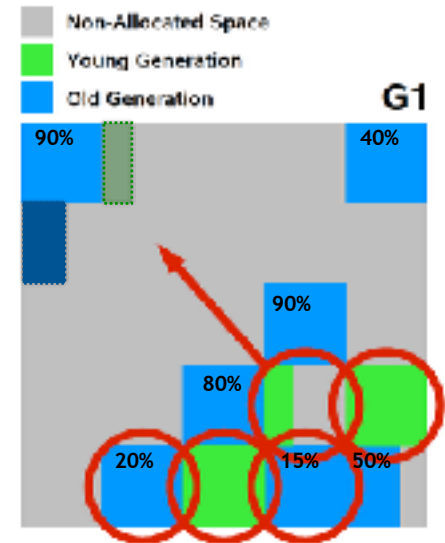
# G1: Garbage first: young + old with most garbage



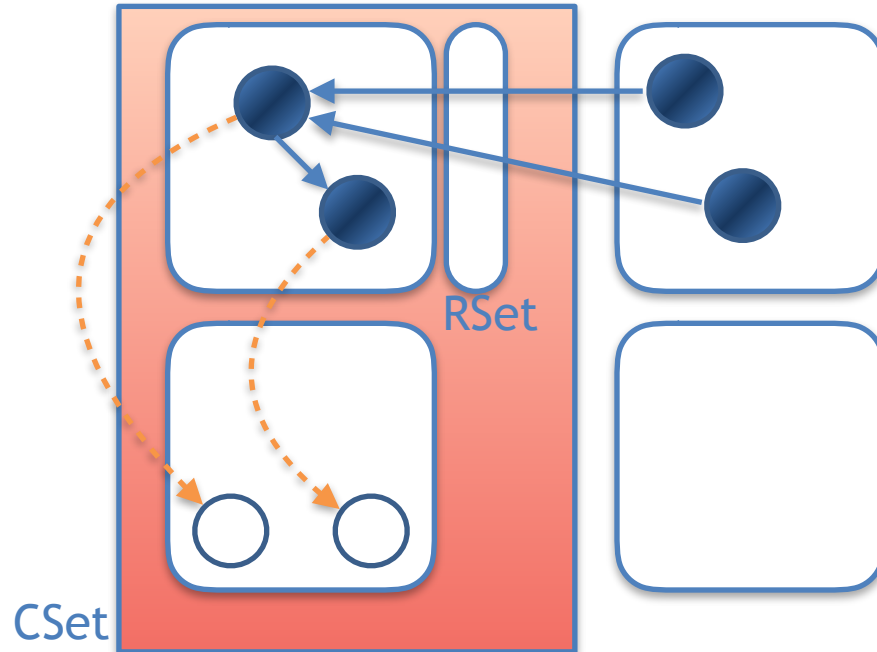


# G1: Garbage first: young + old regions with most garbage

- Concurrent mark in Old
- Mark-copy: no fragmentation
- Limit copy #regions to meet  
-XX:MaxPauseTimeMillis
- Solves scalability and failures
- Still stw pauses

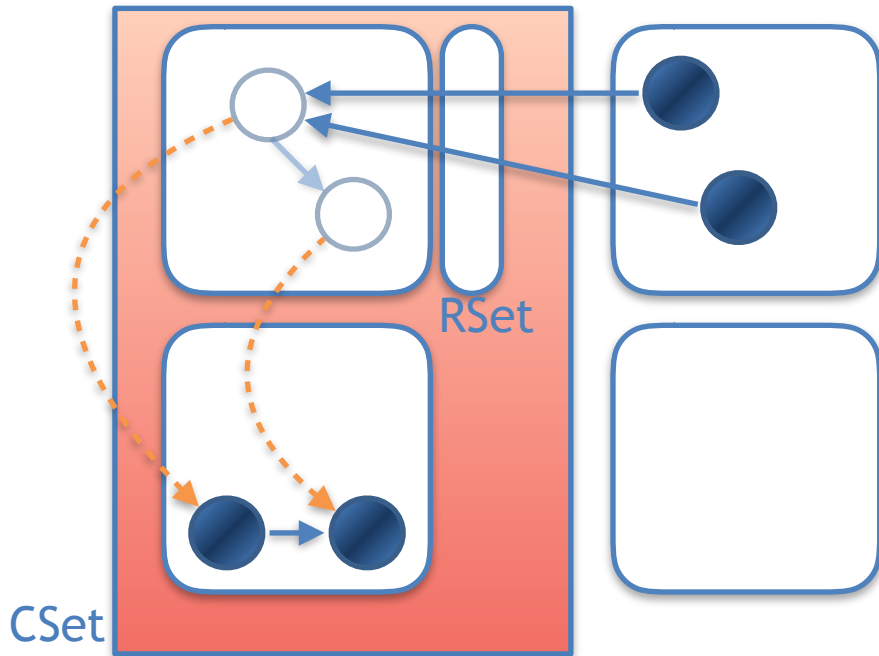


# G1 stw-pauses: Mark young + Copy Y&O





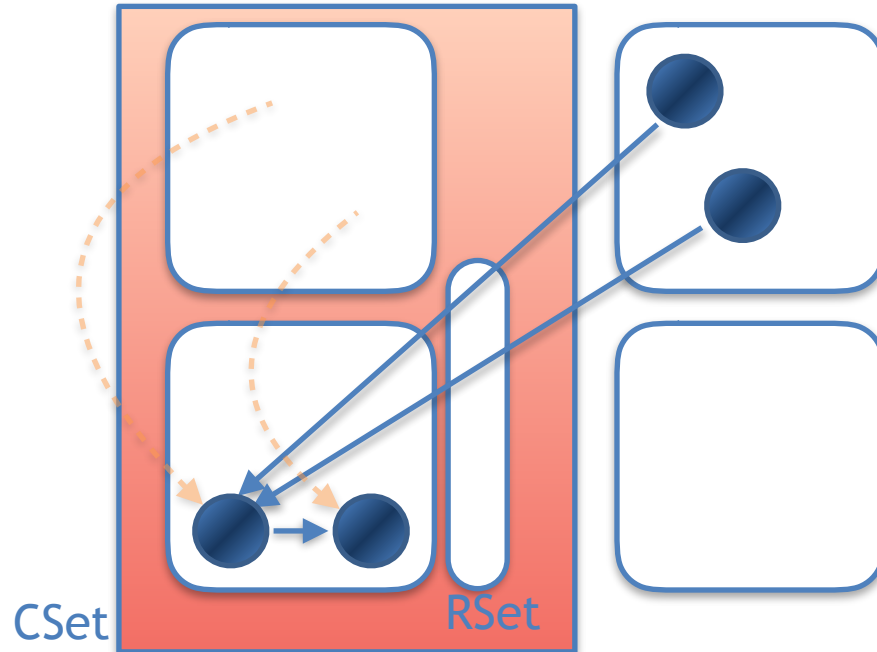
# G1 stw-pauses: Mark young + Copy Y&O + Update refs







# G1 pauses: Mark young + Copy Y&O + Update refs





# Shenandoah GC

Ultra low pause times



# Stw-pause times in G1 how to beat them?

- Mark Young
- Copy Young & Old
- Update refs



# pause times in G1 vs Shenandoah

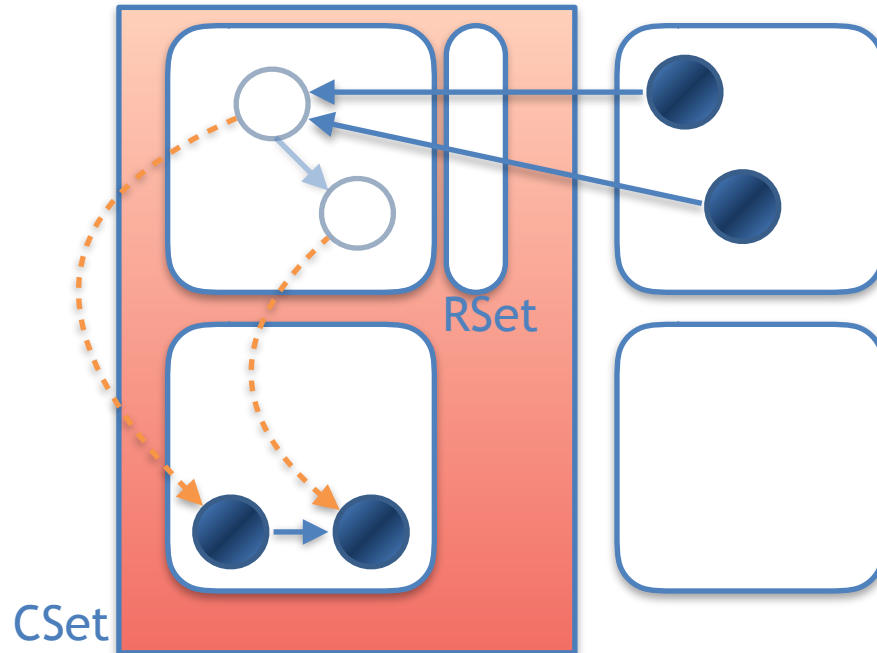
- ~~Mark Young~~
- ~~Copy Young & Old~~
- Update refs



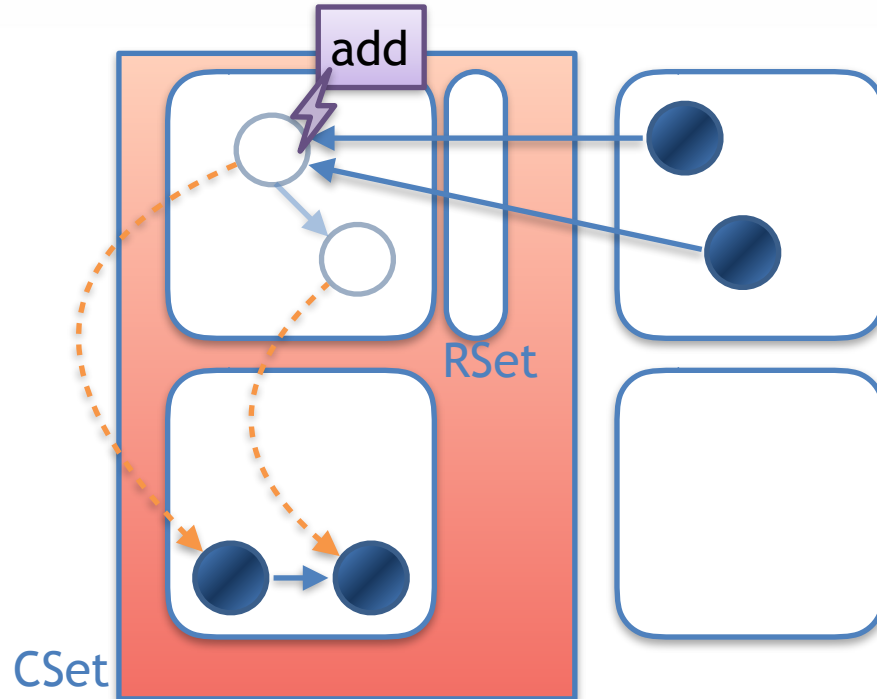
# removed pause times in Shenandoah

- ~~Mark Young~~
- ~~Copy Young & Old~~ ← concurrent
- Update refs ← concurrent

# How to copy Old and update refs concurrently?



# How to copy Old and update refs concurrently?





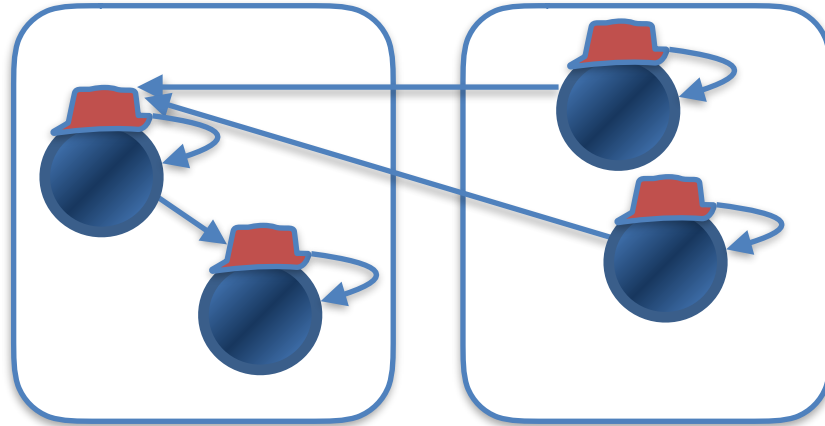
# All problems in computer science can be solved by...?

Jim Coplien - David Wheeler

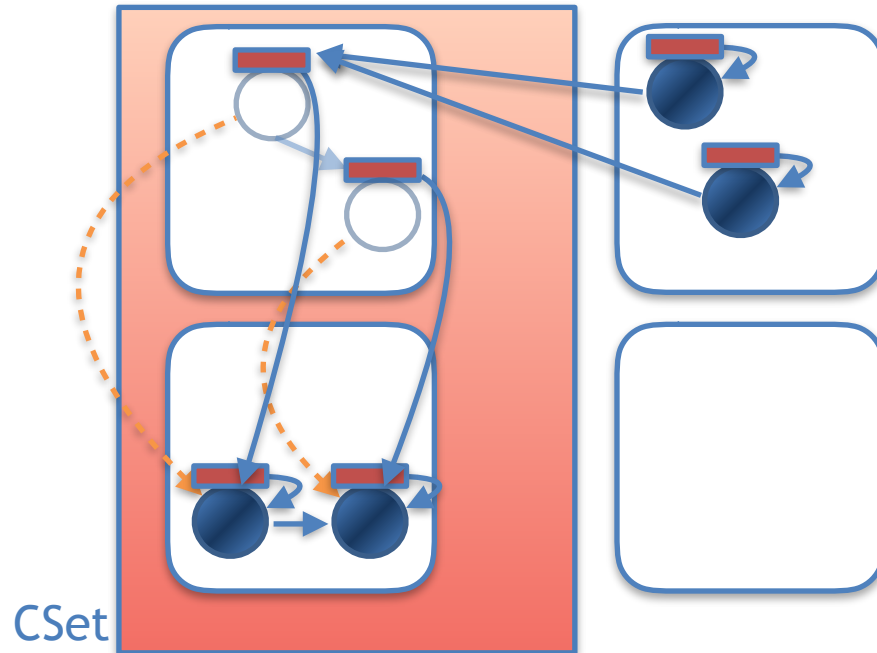


# Another level of indirection

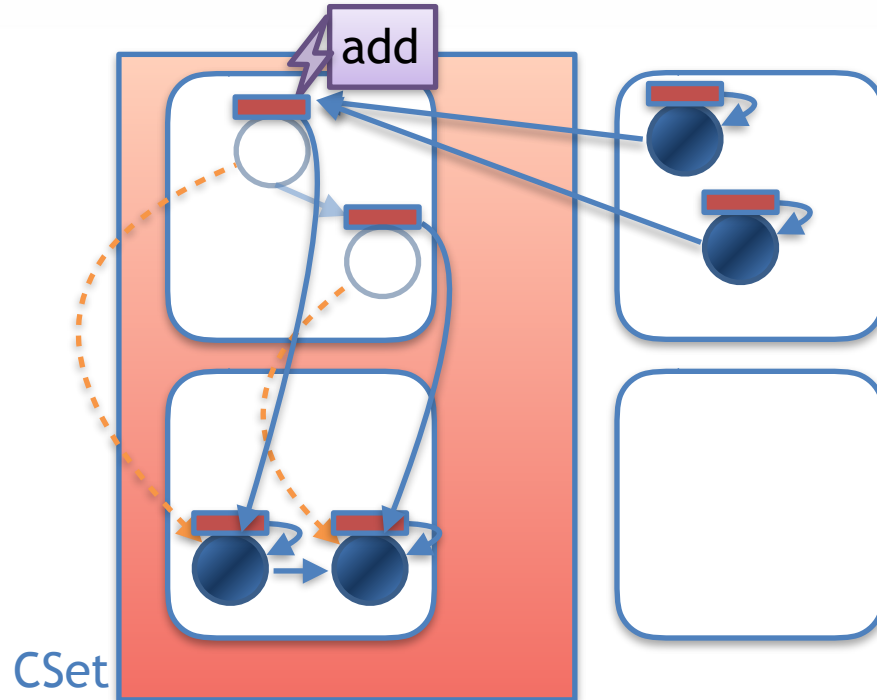
- forwarding pointer



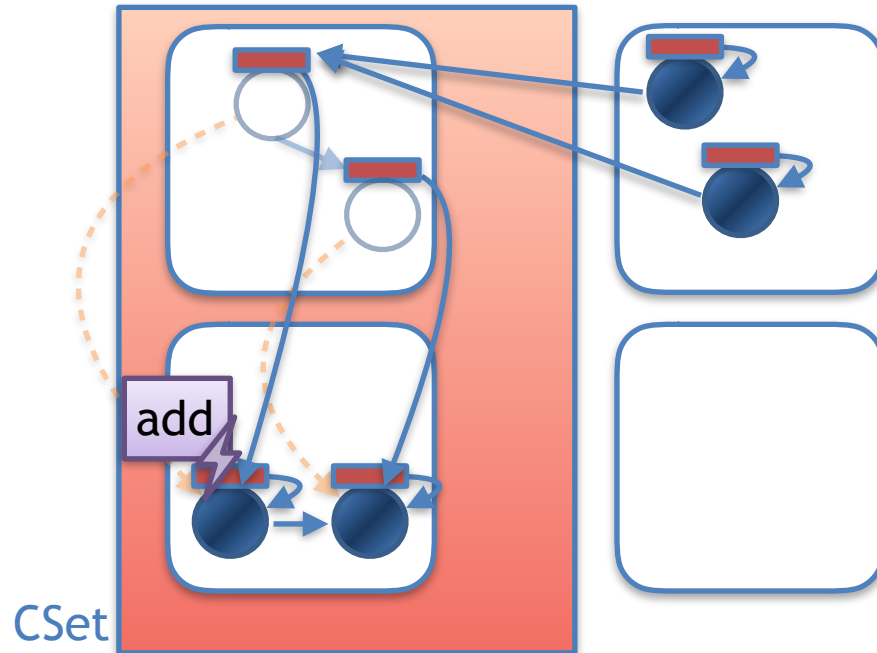
# Copy Old and update refs concurrently by fwd pointer



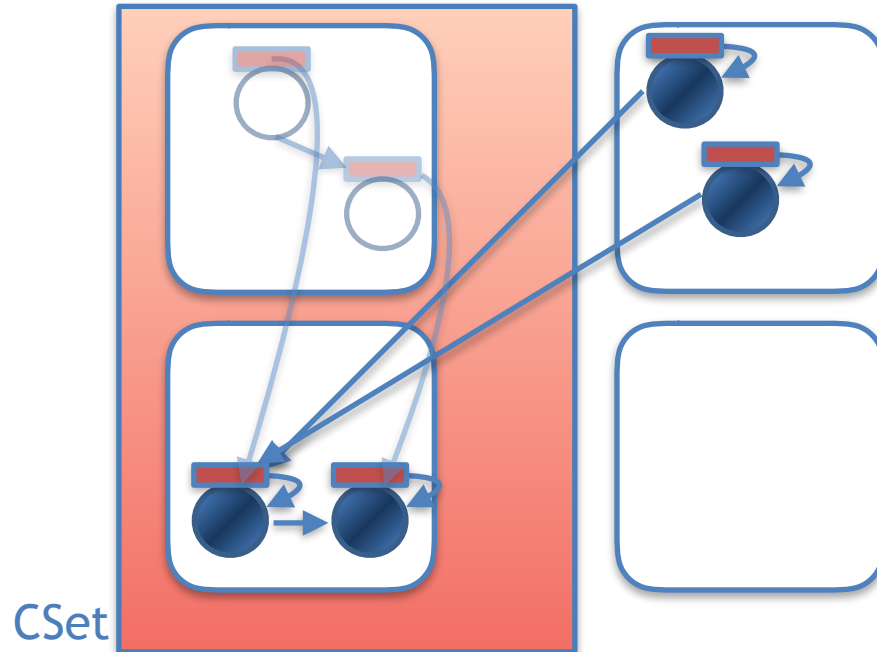
# Application thread can write objects being evacuated



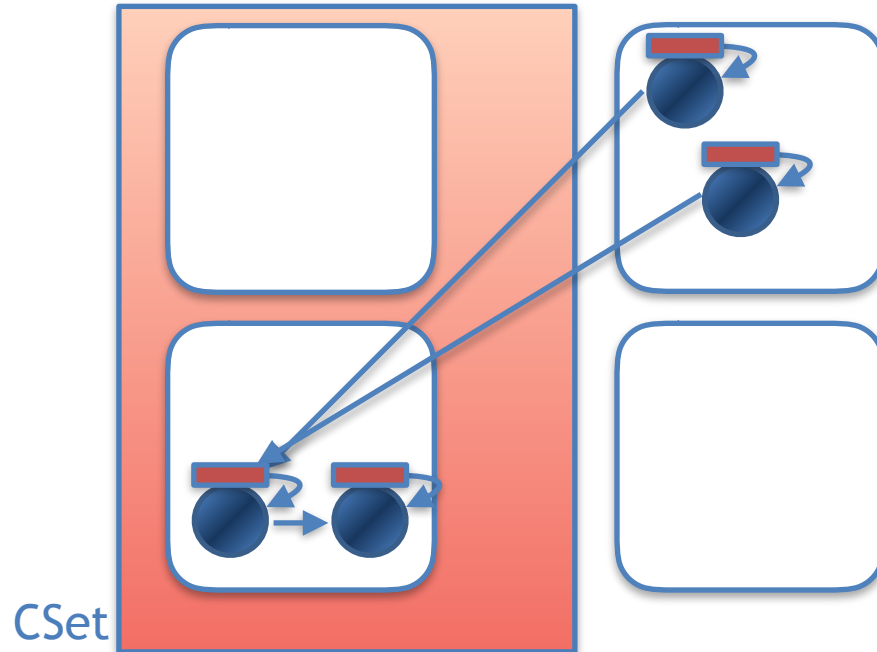
# Application thread writes to new copy of object



# Concurrent update refs



# Concurrent cleanup





# Shenandoah pause times

- 4 short stw pauses
  - init mark, final mark, init UR, final UR

GC(3) Pause Init Mark 0.771ms

GC(3) Concurrent marking 76480M->77212M(102400M) 633.213ms

GC(3) Pause Final Mark 1.821ms

GC(3) Concurrent cleanup 77224M->66592M(102400M) 3.112ms

GC(3) Concurrent evacuation 66592M->75640M(102400M) 405.312ms

GC(3) Pause Init Update Refs 0.084ms

GC(3) Concurrent update references 75700M->76424M(102400M) 354.341ms

GC(3) Pause Final Update Refs 0.409ms

GC(3) Concurrent cleanup 76244M->56620M(102400M) 12.242ms



# Shenandoah pause times

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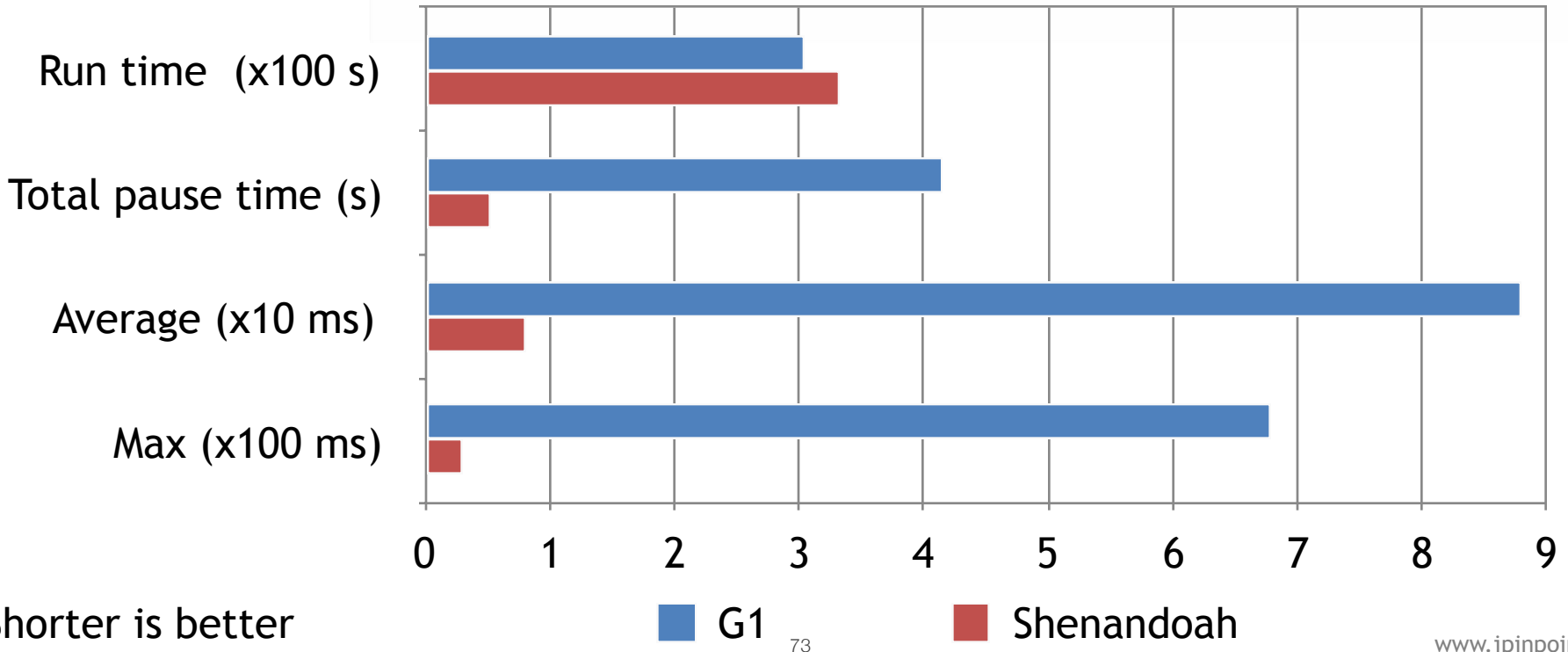
GC(3) Concurrent cleanup 76244M->56620M(102400M) 12.242ms





# Elastic search benchmark

(Oct 2, 2017)





# Parallel GC: max = 161 ms

```
jeroen@jeroen-VirtualBox:~/Proj/HelloJUG/out/HelloJUG$ time /usr/local/jdk-9-sh/bin/java -cp . -XX:+UseParallelOldGC -Xlo
g:gc -Xmx384M com.jpinnpoint.jfall.CreateCalendars
[0.009s][info][gc] Using Parallel
Starting
[0.523s][info][gc] GC(0) Pause Young (Allocation Failure) 24M->4M(90M) 13,693ms
[0.599s][info][gc] GC(1) Pause Young (Allocation Failure) 26M->9M(114M) 22,478ms
[0.732s][info][gc] GC(2) Pause Young (Allocation Failure) 57M->17M(114M) 17,825ms
Iteration 1 took ms: 594
[0.980s][info][gc] GC(3) Pause Young (Allocation Failure) 65M->25M(162M) 13,308ms
Iteration 2 took ms: 226
[1.249s][info][gc] GC(4) Pause Young (Allocation Failure) 121M->42M(162M) 22,769ms
Iteration 3 took ms: 255
[1.511s][info][gc] GC(5) Pause Young (Allocation Failure) 138M->57M(164M) 42,638ms
Iteration 4 took ms: 272
[1.718s][info][gc] GC(6) Pause Young (Allocation Failure) 137M->71M(162M) 21,359ms
[1.879s][info][gc] GC(7) Pause Full (Ergonomics) 71M->67M(197M) 161,373ms
[2.039s][info][gc] GC(8) Pause Young (Allocation Failure) 147M->80M(209M) 29,507ms
Iteration 5 took ms: 406
Number of string numbers: 100000
real    0m2,167s
user    0m1,832s
sys     0m0,152s
```



# G1 GC: max = 38 ms

```
jeroen@jeroen-VirtualBox: ~/Proj/HelloJUC/out/HelloJUC$ time /usr/local/jdk-9-sh/bin/java -cp . -Xlog:gc -Xmx384m com.jpipoint.jfall.CreateCalendars
[0.005s][info][gc] Using G1
Starting
[0.393s][info][gc] GC(0) Pause Young (G1 Evacuation Pause) 7M->2M(94M) 4,187ms
[0.588s][info][gc] GC(1) Pause Young (G1 Evacuation Pause) 57M->10M(94M) 7,150ms
[0.622s][info][gc] GC(2) Pause Young (G1 Evacuation Pause) 19M->13M(94M) 11,767ms
[0.670s][info][gc] GC(3) Pause Young (G1 Evacuation Pause) 29M->15M(94M) 2,678ms
Iteration 1 took ms: 537
[0.866s][info][gc] GC(4) Pause Young (G1 Evacuation Pause) 38M->19M(94M) 19,026ms
[0.969s][info][gc] GC(5) Pause Young (G1 Evacuation Pause) 49M->24M(94M) 24,498ms
[1.111s][info][gc] GC(6) Pause Young (G1 Evacuation Pause) 54M->30M(210M) 37,804ms
Iteration 2 took ms: 408
[1.409s][info][gc] GC(7) Pause Young (G1 Evacuation Pause) 73M->37M(210M) 29,955ms
[1.601s][info][gc] GC(8) Pause Young (G1 Evacuation Pause) 89M->46M(210M) 11,172ms
Iteration 3 took ms: 390
[1.817s][info][gc] GC(9) Pause Young (G1 Evacuation Pause) 110M->56M(210M) 17,451ms
[1.940s][info][gc] GC(10) Pause Young (G1 Evacuation Pause) 123M->68M(210M) 24,992ms
Iteration 4 took ms: 192
[2.062s][info][gc] GC(11) Pause Young (G1 Evacuation Pause) 134M->78M(245M) 26,447ms
Iteration 5 took ms: 169
Number of string numbers: 100000

real    0m2.187s
user    0m2.212s
sys     0m0.212s
```



# Shenandoah GC: max = 5,9 ms

```
jeroen@jeroen-VirtualBox: ~/Proj/HelloJUG/out/HelloJUG$ time /usr/local/jdk-9-sh/bin/java -cp . -XX:+UseShenandoahGC -Xlog
:gc -Xmx384m com.jpincpoint.jFall.CreateCalendars
[0.014s][info][gc] Using Shenandoah
Starting
Iteration 1 took ms: 356
Iteration 2 took ms: 317
[1.027s][info][gc] GC(0) Pause Init Mark 1,459ms
[1.087s][info][gc] GC(0) Concurrent marking 271M->284M(384M) 59,876ms
[1.096s][info][gc] GC(0) Pause Final Mark 284M->284M(384M) 5,927ms
[1.096s][info][gc] GC(0) Concurrent cleanup 284M->284M(384M) 0,051ms
[1.160s][info][gc] GC(0) Concurrent evacuation 284M->341M(384M) 64,232ms
[1.187s][info][gc] GC(0) Pause Init Update Refs 0,040ms
[1.236s][info][gc] GC(0) Concurrent update references 341M->348M(384M) 49,062ms
[1.237s][info][gc] GC(0) Pause Final Update Refs 348M->348M(384M) 0,351ms
[1.237s][info][gc] GC(0) Concurrent cleanup 348M->399M(384M) 0,205ms
Iteration 3 took ms: 354
Iteration 4 took ms: 183
[1.664s][info][gc] GC(1) Pause Init Mark 2,443ms
Iteration 5 took ms: 318
[1.783s][info][gc] GC(1) Concurrent marking 277M->315M(384M) 118,404ms
[1.785s][info][gc] GC(1) Pause Final Mark 315M->315M(384M) 1,927ms
[1.789s][info][gc] GC(1) Concurrent cleanup 315M->315M(384M) 0,082ms
Number of string numbers: 100000
[1.905s][info][gc] Cancelling concurrent GC: Stopping VM
[1.907s][info][gc] GC(1) Concurrent evacuation 315M->360M(384M) 117,930ms
real    0m2,018s
user    0m2,112s
sys     0m0,292s
```







# Epsilon GC

- JEP Draft (by Aleksey Shipilev)
- stw pauses = 0.0 ms!
- How?



# Epsilon GC

- Garbage non-collector
- JVM shutdown when heap exhausted
- `java -XX:+UnlockExperimentalVMOptions  
XX:+UseEpsilonGC`
- Binary builds of patched JDK10 available



# No-op GC use cases

- Performance testing
  - compare with real garbage collectors
- Minimize overhead
  - garbage free apps
  - short-lived apps
  - active failover before heap exhausted



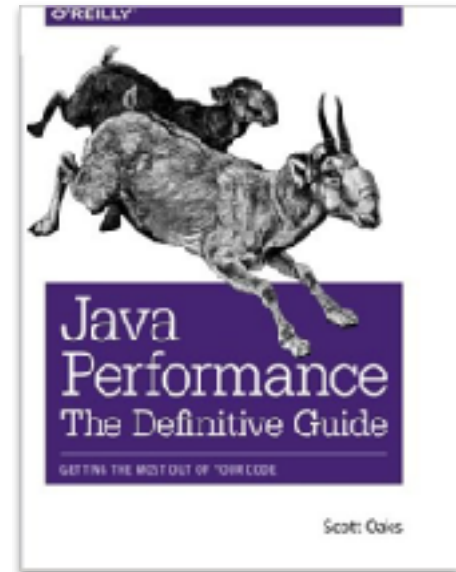


# Conclusions new GC's

- Shenandoah GC clearly beats G1 for short pauses
- Likely to replace G1 as default after JDK9
  - Can try it out now on JDK8+!
- Epsilon GC eliminates all GC-overhead (and comfort)
  - if you can avoid GC
  - last-drop performance improvement

# Questions?

- want to learn more?
  - resources: [www.jpipoint.com](http://www.jpipoint.com)
  - accelerating Java applications training
    - covers Java 8 & 9
    - 12-14 March 2018
- thanks for the attention!



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